

U.S. PTO  
10/07/02

S&H Form: PTO/SB/05 (2/02)

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# **CONTINUING (\$111(a)) APPLICATION TRANSMITTAL OF INTERNATIONAL APPLICATION**

(Bypass Continuation Application Under 35 U.S.C. §111(a))

Attorney Docket No.  
1614.1215

First Named Inventor:  
Masahiro KATAOKA, et al.

Title: **FILE PROCESSING METHOD, DATA PROCESSING  
APPARATUS AND STORAGE MEDIUM**

Express Mail Label No.

## **APPLICATION ELEMENTS**

See MPEP chapter 600  
concerning utility  
patent application contents.

## **ADDRESS TO:**

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [Total Pages: 51]
3. ☒ Drawing(s) (35 USC 113) ..... [Total Sheets: 22] [FIGS. 1-17]
4. ☒ Oath or Declaration ..... [Total Pages: 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 20), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Application Data Sheet. See 37 C.F.R. 1.76
9. ☐ Applicant claims foreign priority benefit to: Application filed
9. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
10. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

11. ☒ Assignment (cover sheet & document(s)) to Fujitsu Limited of Kawasaki, Japan  
☒ for publication of assignee information under 37 CFR 1.215(b)
12. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☐ Certified Copy of Priority Document(s) filed in prior application No. .
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
15. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
16. ☒ Preliminary Amendment
  - a. ☒ enclosed herewith.
  - b. ☐ incorporated herein (see Box 20).
17. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
18. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
19. ☐ Other:

**20. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: PCT/JP99/04387. This is a continuing application, filed under 35 U.S.C. §111(a), of International Application PCT/JP99/04387, filed August 13, 1999.

**Preliminary Amendment:**

☐ Amend the specification by inserting before the first line the sentence:--This application is a continuing application, filed under 35 U.S.C. §111(a), of International Application PCT/JP99/04387, filed August 13, 1999.--


**21. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**




21171

PATENT TRADEMARK OFFICE

**22. SIGNATURE OF ATTORNEY OR AGENT**

NAME	H. J. Staas	REGISTRATION NO.	22,010
SIGNATURE		DATE	February 13, 2002

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.		1614.1215			
		Application Number					
		Filing Date		February 13, 2002			
AMOUNT ENCLOSED		\$ 1770.00		First Named Inventor		Masahiro KATAOKA, et al.	
<b>FEE CALCULATION</b> (fees effective 10/01/00)							
MS	CLAI	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
		TOTAL CLAIMS	47 - 20 =	27	X \$ 18.00 =	\$ 486.00	
		INDEPENDENT CLAIMS	9 - 3 =	6	X \$ 84.00 =	504.00	
		MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =		
					BASIC FILING FEE	740.00	
					Total of above Calculations =	\$ 1730.00	
					Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)		
					Reduction by 50% for filing by small entity (37 CFR 1.27).		
					TOTAL FILING FEE = \$ 1730.00		
					Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))		
					Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) 40.00		
					TOTAL FEES DUE = \$ 1770.00		
<b>METHOD OF PAYMENT</b>							
<input checked="" type="checkbox"/> Check enclosed as payment. <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).							
<b>GENERAL AUTHORIZATION</b>							
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:							
		Deposit Account No.		19-3935			
		Deposit Account Name		STAAS & HALSEY LLP			
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.							
SUBMITTED BY: STAAS & HALSEY LLP							
Typed Name		H. J. Staas			Reg. No.		22,010
Signature					Date		February 13, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Masahiro KATAOKA, et al.

Serial No.

Group Art Unit:

Confirmation No.

Filed: February 13, 2002

Examiner:

For: FILE PROCESSING METHOD, DATA PROCESSING APPARATUS AND STORAGE  
MEDIUM

**SUBMISSION OF CORRECTED FORMAL DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Corrected formal drawings are submitted herewith and include the following changes:

Fig. 3 at page 3/22 of the drawings has been changed to Fig. 3A.

Fig. 3 at page 4/22 of the drawings has been changed to Fig. 3B.

Fig. 5 at page 6/22 of the drawings has been changed to Fig. 5A.

Fig. 5 at page 7/22 of the drawings has been changed to Fig. 5B.

Fig. 7 at page 9/22 of the drawings has been changed to Fig. 7A.

Fig. 7 at page 10/22 of the drawings has been changed to Fig. 7B.

Fig. 13 at page 16/22 of the drawings has been changed to Fig. 13A.

Fig. 13 at page 17/22 of the drawings has been changed to Fig. 13B.

Fig. 17 at page 21/22 of the drawings has been changed to Fig. 17A.

Fig. 17 at page 22/22 of the drawings has been changed to Fig. 17B.

Labeling of some of the drawings has been corrected to delete duplication of figure numbers. The specification has also been amended to correct this error. Entry of the attached, corrected drawings is respectfully requested.

Respectfully submitted,

STAAS & HALSEY LLP

Date: February 13, 2002

By: 

H. J. Staas

Registration No. 22,010

700 11th Street, N.W., Ste. 500  
Washington, D.C. 20001  
(202) 434-1500